SPANDANA

SPANDANA SPHOORTY FINANCIAL LIMITED - ASBA APPLICATION FORM

(Only to be used while paying through Physical ASBA facility)

1. NAME AND CONTACT DETAILS OF ELIGIBLE SHAREHOLDER(S)

Name of sole/ first holder	
Name of second holder	
Name of third holder	
Address (Sole/ first holder)	
E-mail	
Telephone / Mobile No.	

2. PERMANENT ACCOUNT NUMBER (PAN)

Sole/first holder	**Second holder**	**Third holder**

Note: *In case of shareholding in joint names, each of the joint shareholders should mention their Income Tax PAN.*

3. TYPE OF ELIGIBLE SHAREHOLDER (Please tick ☑):

☐ Resident ☐ Non-Resident

Note: *Non-Resident Eligible Shareholders applying on non-repatriation basis should select "Resident".*

4. DEPOSITORY ACCOUNT DETAILS (Please tick ☑ the correct option and provide your DP ID and Client ID):

☐ NSDL ☐ CDSL

Note: *For NSDL, please enter 8 digit DP ID followed by 8 digit Client ID; and For CDSL, please enter 16 digit Client ID.*

Note: *Credit of fully paid-up equity shares shall be made in dematerialized form only.*

5. FIRST AND FINAL CALL PAYMENT DETAILS:

No. of partly paid-up equity shares held by Eligible Shareholder as on Record Date i.e. [August 19], 2026 (I)	
Amount payable on First and Final Call per partly paid-up equity share (II)	**₹ 115.00 per share**
Total amount payable being blocked through ASBA Mode [(III) = (I x II)]	
(₹ in Figures)	**(₹ in Words)**

ASBA Bank A/c No.	

Name of the ASBA Account Holder: ______________________________

SCSB Name and Address: ______________________________

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I/ We authorize the SCSB to block my/ our account, hold funds/ make payment towards First and Final Call of ₹ 115.00 per partly paid-up equity share of Spandana Sphoorty Financial Limited. I/ We confirm that I/ we are making the payment through my/ our bank account only and not using any third-party bank account for making such payment. Further, I/ we confirm that the ASBA Account is held in my/ our own name.

SIGNATURE OF ASBA BANK ACCOUNT HOLDER(S)

Sole/ First Account Holder	**Second Joint Account Holder**	**Third Joint Account Holder**

Note: *Signature(s) as per the specimen recorded with the SCSB. In case of joint shareholders, all the joint shareholders must sign in the same sequence as per specimen recorded with the SCSB.*

6. SIGNATURE OF ELIGIBLE SHAREHOLDER(S)

I/ We hereby confirm that I/ we have read, understood and accept the terms and conditions of this First and Final Call Notice. I/ We hereby confirm that I/ we have read the Instructions for filling up this ASBA Form given overleaf.

Sole/ First Account Holder	**Second Joint Account Holder**	**Third Joint Account Holder**

Note: *Signature(s) as per the specimen recorded with the Depository. In case of joint shareholders, all the joint shareholders must sign in the same sequence as per specimen recorded with the Depository.*

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SPANDANA SPHOORTY FINANCIAL LIMITED - FIRST AND FINAL CALL

Acknowledgement Slip for Eligible Shareholder(s)Date: ________________

ASBA Form No.			
Received from			
DP ID & Client ID			
PAN		**Collecting SCSBs Sign & Seal**	
Bank & Branch		**Amount Blocked (₹ in Figures)**	
ASBA Bank Account No.		**Amount Blocked (₹ in Words)**	
Tel./ Mobile No.		**Email**	

General Instructions - ASBA Application Form

a) Eligible Shareholders should read the instructions printed on this ASBA Application Form carefully.

b) Eligible Shareholders should carefully read the First and Final Call Notice and detailed instructions annexed thereto.

c) ASBA Application Form should be submitted to the Designated Branch of the SCSB for authorizing such SCSB to block First and Final Call payable in their respective ASBA Accounts. **Please note that the last date of payment is September 23, 2026. Payments through ASBA process will be uploaded until 5:00 p.m. (Indian Standard Time). Eligible Shareholders are requested to check with their respective banks for cut-off time to submit the ASBA Application Form.**

d) An Eligible Shareholder, wishing to pay the First and Final Call through the ASBA facility, is required to have an ASBA enabled bank account with an SCSB, prior to making the payment.

e) The ASBA Application Form should be complete in all respects. Incomplete ASBA Application Form which is not completed in conformity with the terms of the First and Final Call Notice will be rejected. The ASBA Application Form must be filled in English only.

f) ASBA Application Form should not be submitted to the Company or the RTA.

g) In case of payment through ASBA facility, Eligible Shareholders are required to provide necessary details, including details of the ASBA Bank Account, authorization to the SCSB to block an amount equal to the First and Final Call payable by them in the ASBA Bank Account mentioned in the ASBA Application Form.

h) Except for payments on behalf of the Central or the State Government, the residents of Sikkim and the officials appointed by the courts, ASBA Application Forms without PAN will be considered incomplete and are liable to be rejected.

i) For physical ASBA payment made through submission of the ASBA Application Form at Designated Branches of SCSB, signatures should be either in English or Hindi or in any other language specified in the Eighth Schedule to the Constitution of India. Signatures other than in any such language or thumb impression must be attested by a Notary Public or a Special Executive Magistrate under his/ her official seal. The Eligible Shareholders must sign the ASBA Application Form as per the specimen signature recorded with the SCSB.

j) Please note that ASBA Application Form without depository account details shall be treated as incomplete and shall be rejected.

k) Please note that ASBA Application Form should be submitted at an designated branch of the SCSBs as available on SEBI's website at www.sebi.gov.in/sebiweb/other/OtherAction.do?doRecognisedFpi=yes&intmId=35, updated from time to time or such other website(s) as may be prescribed by SEBI from time to time. The list of SCSBs as on the date of the First and Final Call Notice are given hereinbelow for convenience of the shareholders.

List of Self-Certified Syndicate Banks (As on the date of First and Final Call Notice)

(1) AU Small Finance Bank Limited; **(2)** Axis Bank Ltd; **(3)** Bank of Baroda; **(4)** Bank of India; **(5)** Bank of Maharashtra; **(6)** Barclays Bank Plc; **(7)** BNP Paribas; **(8)** Canara Bank; **(9)** Catholic Syrian Bank Limited; **(10)** Central Bank of India; **(11)** CITI Bank N.A.; **(12)** City Union Bank Ltd.; **(13)** DBS Bank Ltd.; **(14)** Deutsche Bank; **(15)** Dhanlaxmi Bank Limited; **(16)** Equitas Small Finance Bank Ltd; **(17)** GP Parsik Sahakari Bank Limited; **(18)** HDFC Bank Ltd.; **(19)** The Hongkong Shanghai Bkng. Corpn. (HSBC) Ltd.; **(20)** ICICI Bank Ltd; **(21)** IDBI Bank Limited; **(22)** IDFC First Bank; **(23)** Indian Bank; **(24)** Indian Overseas Bank; **(25)** IndusInd Bank; **(26)** J. P. Morgan Chase Bank, N.A.; **(27)** Janata Sahakari Bank Ltd.; **(28)** Karnataka Bank Ltd.; **(29)** The Karur Vysya Bank Ltd.; **(30)** Kotak Mahindra Bank Ltd.; **(31)** Mehsana Urban Cooperative Bank Limited; **(32)** Nutan Nagarik Sahakari Bank Ltd.; **(33)** Punjab and Sind Bank; **(34)** Punjab National Bank; **(35)** Rajkot Nagarik Sahakari Bank Ltd; **(36)** RBL Bank Limited; **(37)** South Indian Bank; **(38)** Standard Chartered Bank; **(39)** State Bank of India; **(40)** SVC Cooperative Bank Ltd.; **(41)** Tamilnadu Mercantile Bank Ltd.; **(42)** The Ahmedabad Mercantile Co-Op Bank Ltd; **(43)** The Federal Bank; **(44)** Jammu and Kashmir Bank; **(45)** The Kalupur Commercial Cooperative Bank Ltd.; **(46)** The Saraswat Co-Operative Bank Ltd; **(47)** The Surat Peoples Co-op Bank Ltd; **(48)** TJSB Sahakari Bank Ltd; **(49)** UCO Bank; **(50)** Union Bank of India; **(51)** YES Bank Ltd; **(52)** Development Credit Bank Limited; **(53)** Utkarsh Small Finance Bank Limited; **(54)** Capital Small Finance Bank Limited; **(55)** Jana Small Finance Bank Limited; **(56)** Bandhan Bank Limited.